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# INFORMATION DISCLOSURE STATEMENT

Applicants:	RYGAARD, Chris
Application No.	09/851,763
Filed:	08 May 2001
For:	Wireless Device Mobile Application Security System
Group Art Unit:	
Examiner:	
Attorney Docket No.:	1010722-991110

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.

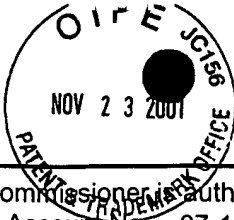
This Information Disclosure Statement:

- (a) ☐ accompanies a new patent application submitted herewith.
- (b) ☒ is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; **OR**
- (c) ☐ after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance; **OR**
- (d) ☐ is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below **OR**
- (d) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- (f) ☐ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- (g) ☒ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. Copies of the references are enclosed



The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account No. 07-1896

Respectfully submitted,

Date: November 15 2001

GRAY CARY WARE & FREIDENRICH LLP

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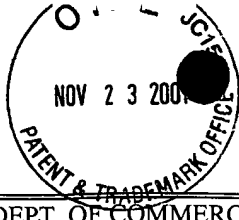
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*I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Commissioner of Patents & Trademarks; Washington, DC 20231.*

November 15, 2001  
Date

Timothy W. Lohse  
Signature



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Form PTO-1449 U.S. DEPT. OF COMMERCE (modified 2/91) Patent and Trademark Office <b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	Attorney Docket Number:	Serial Number:
	1010722-991110	09/851,760
	Applicant: Chris Rygaard	
	Filing date: 08 May 2001	Group art unit:

## U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Date	Name	Class	Sub-class	Filing date if appropriate

## FOREIGN PATENT DOCUMENTS

	Document number	Date	Country	Class	Sub-class	Translation	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

11-1	Peter Mell et al., "A Denial of Service Resistant Intrusion Detection Architecture", NIST, 2000
11-1	Peter Mell et al., "Mobile Agent Attach Resistant Distributed Hierarchical Intrusion Detection" NIST, 1999
11-1	W.A. Jansen, "A Privilege Management Scheme for Mobile Agent Systems", NIST
11-1	Wayne Jansen, "Countermeasures for Mobile Agent Security", NIST
11-1	Wayne Janson et al., "Applying Mobile Agents to Intrusion Detection and Response" NIST Interim Report, October 1999
11-1	Wayne Janson et al., "Privilege Management of Mobile Agents", NIST
11-1	Wayne Jansen et al., "NIST Special Publication 800-19 - Mobile Agent Security", NIST

Examiner: <i>Pen</i>	Date Considered: <i>8/11/04</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	